

08/23/01
10882 U.S. PTO

Express Mail No. EL715974373US
Docket No. 20731/120940

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

11011 U.S. PTO
09/938035
08/23/01

In re Patent Application of :)
Akira ASAKURA *et al.*) Examiner: Unassigned
Serial No.: Unassigned) Art Unit: Unassigned
Filed: Herewith)
For: **MICROBIAL PROCESS FOR PRODUCING**)
L-ASCORBIC ACID, D-ERYTHORBIC ACID,)
AND SALTS THEREOF)

New York, New York
August 23, 2001

Box Patent Application
Commissioner For Patents
Washington, D.C. 20231

Sir:

Transmitted herewith for filing is the utility patent application of Inventor(s):

Akira ASAKURA, Tatsuo HOSHINO and Masako SHINJOH

For: **MICROBIAL PROCESS FOR PRODUCING L-ASCORBIC ACID, D-ERYTHORBIC ACID, AND SALTS THEREOF**

1. ☒ The application has 40 pages (including specification, claim pages, and abstract).
2. ☒ The declaration and power of attorney
 - a. ☒ has been executed by the inventor(s); or
 - b. ☐ has not been executed by all the inventors. A signed declaration and power of attorney will be submitted in due course.
3. ☐ _____ sheets of drawings are enclosed.
4. ☒ A Sequence Listing is part of this application.
 - a. ☒ A Sequence Listing in paper form is enclosed.
 - b. ☐ A Statement Under 37 CFR § 1.821 is enclosed.
5. ☐ An associate power of attorney is enclosed.

6. ☒ An Assignment of the invention from the Inventor(s) to ROCHE VITAMINS AG, and an Assignment from Roche Vitamins AG to ROCHE VITAMINS INC. (with Recordation Cover Sheets in duplicate) are enclosed.

- a. ☒ Two (2) checks in the amount of \$40 each are enclosed to cover the recording fee.
- b. ☐ Please charge the recording fee to our Deposit Account No. 02-4467. A duplicate copy of this paper is enclosed.

7. ☒ Priority is hereby claimed under 35 USC §119 based on Appln. No. **00 118 059.5** filed in **Europe** on **August 23, 2000**.

- a. ☒ A copy of the certified priority document is enclosed.
- b. ☐ The certified priority document will follow.

8. ☐ Priority is hereby claimed under 35 USC §119(e) based on provisional application Serial No. _____, filed _____, in _____. Please amend the specification by inserting, before the first line, the following sentence: -- This application claims priority under 35 U.S.C. § 119(e) of provisional application Serial No _____, filed _____. --

9. ☒ The filing fee is calculated below:

Claims as Filed, Plus/Minus Any Claims Added/Canceled By Amendment

For	Number Filed	Number Extra	Rate	Basic Fee = \$	
Total claims	20 -20=	0	x \$ 18 =	\$	0.00
Independent claims	6 -3=	3	x \$ 80 =	\$	240.00
Multiple Dependent claims	7	--	x \$270 =	\$	270.00
Total Filing Fees				\$	1220.00

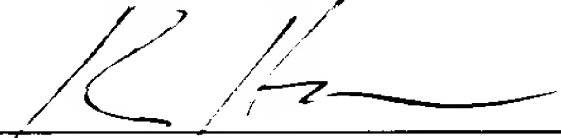
- a. ☒ A check in the amount of **\$1220.00** to cover the filing fee is enclosed. If our check is missing or otherwise insufficient, or if any additional fees are required, please charge (or credit any overpayment) to Deposit Account No. 02-4467. A duplicate copy of this paper is enclosed.
- b. ☐ Please charge Deposit Account No. 02-4467 in the amount of \$_____. A duplicate copy of this paper is enclosed.

10. ☒ The Commissioner is hereby authorized to charge payment of the following fees, or to credit any overpayment, to Deposit Account No. 02-4467. A duplicate copy of this paper is enclosed.

- a. ☒ Any additional filing fees required under 37 CFR Section 1.16 not otherwise paid by check.

- b. ☒ Any patent application processing fees required under 37 CFR Section 1.17 not otherwise paid by check.
- c. ☒ Any additional Assignment recording fees under 37 CFR Section 1.21 (h) not otherwise paid by check.
11. ☐ A preliminary amendment is enclosed. Please enter it before calculating the filing fee.
12. ☐ An Information Disclosure Statement is enclosed.
13. ☒ Address all communications to:
- Stephen M. Haracz, Esq.
Bryan Cave, LLP
245 Park Avenue
New York, NY 10167-0034
tel. (212) 692-1800

Respectfully submitted,

By: 

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In re Patent Application of :)
Akira ASAKURA *et al.*) Examiner: Unassigned
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New York, New York
August 23, 2001

CERTIFICATE OF EXPRESS MAILING

"Express Mail" Mailing Label No.: EL715974373US

Date of Deposit: August 23, 2001

I hereby certify that the following:

- ☒ Certificate of Express Mailing (1 p)
- ☒ Transmittal Letter (3 pp) in duplicate
- ☒ Specification, Claims and Abstract (40 pp)
- ☒ Declaration and Power of Attorney (3 pp) (executed)
- ☒ Sequence Listing (3 pp)
- ☒ Two (2) Assignments and Recordation Cover Sheets (in duplicate) (6 pp)
- ☒ Copy of Certified Priority Document No. 00118059.5
- ☒ Check for \$1,220.00 (Filing fee)
- ☒ Two (2) Checks for \$40.00 each (Assignment fee)
- ☒ Return postcard

are being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 CFR § 1.10 on the Date of Deposit indicated above in an envelope addressed to Box Patent Application, Commissioner For Patents, Washington, D.C. 20231.



Eric Morales

Signature of Person Making Deposit

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